

#### Audit and Assurance Committee Meeting

Date of Meeting	Tuesday 26 May 2020
Paper Title	Internal Audit Progress Report
Agenda Item	8
Paper Number	AAC4-D
Responsible Officer	Jim Godfrey, Finance & Resources Director
Status	Disclosable
Action	For discussion

#### 1. Report Purpose

**1.1.** To consider the Internal Audit Progress Report for 2019-20.

#### 2. Recommendations

**2.1.** The Committee is invited to **discuss** the Internal Audit Progress Report for 2019-20.

#### 3. Internal Audit Progress Report

**3.1.** This report provides an overview of internal audit activity progress for 2019-20.

#### 4. Risk Analysis

**4.1.** The work of the Internal Auditor is informed by an assessment of risk. The prompt implementation of the actions, in response to the audit recommendations, will enable GCRB to reduce the risks relating to compliance with the Financial Memorandum.

#### 5. Equalities Implications

**5.1.** There are no equalities implications as a result of the recommendation in this report.

#### 6. Legal Implications

**6.1.** There are no specific legal implications arising from this report.

#### 7. Resource Implications

**7.1.** The provision of internal audit is a necessary component of an organisation's overall governance arrangement with regard to both financial and other matters.

#### 8. Strategic Plan Implications

**8.1.** Through the Regional Outcome Agreement and associated requirements, GCRB has to have effective governance arrangements, of which internal audit is part.

# **Glasgow Colleges Regional Board**

Internal Audit Progress Report 2019/20 Annual Plan

26 May 2020

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Now, for tomorrow

### Internal Audit Progress Report

May 2020

Progress with the annual plan for 2019/20 is shown below.

Audit Area	Planned reporting date	Report status	Report Number	Overall Conclusion	Audit & Assurance Committee	Comments
Strategic Internal Audit Plan 2019 to 2022 and Outline Internal Audit Annual Plan 2019/20	October 2019	Draft 13/09/19 2 <sup>nd</sup> Draft 19/09/19 Final 09/10/19	2020/01	N/A	08/10/19	
Monitoring of Achievement of Target Student Numbers and Delivery of Enhanced Regional Outcomes	January 2020	Draft 03/05/20 Final 19/05/20	2020/02	Good	26/05/20	This review was carried forward from the 2018/19 Annual Plan.
Shared Services	September 2020					Fieldwork to be completed in June 2020
Collaborative Training Provision BPR	September 2020					Fieldwork to be completed in July 2020
Glasgow Region risk management	September 2020					Fieldwork to be completed in late August 2020
Follow Up reviews	September 2020					Fieldwork to be completed in August 2020



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